AMENDMENT OF SOLICITATI	ON/MODIFICATI	ON OF CONTRACT	1. Contract l		Page 1 Of 11
2. Amendment/Modification No.	3. Effective Date	4. Requisition/Purchase Req I		5. Project No.	(If applicable)
0005	2001AUG28	SEE SCHEDULE			
6. Issued By	Code W52H09	7. Administered By (If other t	han Item 6)		Code
TACOM-ROCK ISLAND AMSTA-LC-CSC-C BOBBIE STEGALL (309)782-3618 ROCK ISLAND IL 61299-7630					
EMAIL: STEGALLB@RIA.ARMY.MIL		SCD	PAS	ADP I	?Т
8. Name And Address Of Contractor (No., Stre	eet, City, County, State and		9A. Amendme	nt Of Solicitation	ı No.
			DAAE20-99-R-	-0091	
			9B. Dated (See	e Item 11)	
			2001JAN03	tion Of Contract	/Onder No
			IVA. MOUIIICA	uon Oi Contract	Order No.
Code Facility Code		<u>_</u>	10B. Dated (Se	ee Item 13)	
11. T	THIS ITEM ONLY APPLI	ES TO AMENDMENTS OF SO	LICITATION	is	
The above numbered solicitation is amend		The hour and date specified for	r receipt of Of	fers	
is extended, is not extended. Offers must acknowledge receipt of this amount	2001SEP28 04:30pm	and date specified in the solicita	tion or as ame	ended by one of t	he following methods:
(a) By completing items 8 and 15, and return	ning 2 signed copies (of the amendments: (b) By acknowledge	owledging rece	eipt of this ame n	dment on each copy of the
offer submitted; or (c) By separate letter or ACKNOWLEDGMENT TO BE RECEIVED	0				
SPECIFIED MAY RESULT IN REJECTION change may be made by telegram or letter, I					
opening hour and date specified.	provided each telegram or	letter makes reference to the sol	ncitation and t	ms amenument,	and is received prior to the
12. Accounting And Appropriation Data (If red	quired)				
13. THIS		O MODIFICATIONS OF CON act/Order No. As Described In I		DERS	
A. This Change Order is Issued Pursua The Contract/Order No. In Item 10.			The Cl	nanges Set Forth	In Item 14 Are Made In
B. The Above Numbered Contract/Orde Set Forth In Item 14, Pursuant To T			ch as changes	in paying office,	appropriation data, etc.)
C. This Supplemental Agreement Is En	tered Into Pursuant To Au	thority Of:			
D. Other (Specify type of modification a	and authority)				
E. IMPORTANT: Contractor is not,	is required to sign	this document and return		copies to the Issu	ing Office.
14. Description Of Amendment/Modification (Organized by UCF section	headings, including solicitation	/contract subje	ect matter where	feasible.)
SEE SECOND PAGE FOR DESCRIPTION					
Except as provided herein, all terms and condi and effect.	tions of the document refer	renced in item 9A or 10A, as he	retofore chang	ed, remains uncl	nanged and in full force
15A. Name And Title Of Signer (Type or print)	16A. Name And Title C	of Contracting	Officer (Type or	r print)
15B. Contractor/Offeror	15C. Date Signed	16B. United States Of A	America		16C. Date Signed
225. Contractor/Oneron	150. Date Signed				100. Date Signed
(Signature of person authorized to sign)	_	By(Signature of	/SIGNED/	Officer)	
NSN 7540-01-152-8070	1	30-105-02	Contracting (ORM 30 (REV. 10-83)

CONTINUATION	SHEET
--------------	-------

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 2 of 11

Name of Offeror or Contractor:

SECTION A - SUPPLEMENTAL INFORMATION

- 1. THE PURPOSE OF THIS AMENDMENT IS TO REQUEST INITIAL AND REVISED PROPOSALS BASED ON THE FOLLOWING CHANGES TO SOLICITATION DAAE20-99-R-0091. THE GOVERNMENT INTENDS TO AWARD A CONTRACT OR CONTRACTS BASED ON THIS AMENDMENT WITHOUT DISCUSSIONS WITH OFFERORS OR OBTAINING FURTHER PROPOSAL REVISIONS. ACCORDINGLY, EACH OFFER SHOULD BE SUBMITTED ON THE MOST FAVORABLE TERMS, FROM PRICE AND TECHNICAL STANDPOINT.
- 2. SOLICITATION DAAE20-99-R-0091 IS AMENDED AS FOLLOWS:
 - (1) THE ORDERING PERIODS COVERED BY THIS SOLICITATION ARE CHANGED TO:

ORDERING PERIOD (OP) 1: AWARD DATE - 30 SEPTEMBER 2002

ORDERING PERIOD (OP) 2: 01 OCTOBER 2002 - 30 SEPTEMBER 2003

ORDERING PERIOD (OP) 3: 01 OCTOBER 2003 - 30 SEPTEMBER 2004

ORDERING PERIOD (OP) 4: 01 OCTOBER 2004 - 30 SEPTEMBER 2005

(2) THE FOLLOWING CLAUSES ARE DELETED:

CLAUSE NUMBER	REGULATORY CITE	TITLE	REPLACED WITH
AS7009		DIRECT VENDER DELIVER (DVD)	
AS7007	52.204-4500	NOTICE OF REQUIREMENT FOR USE OF ELECTRONIC DATA INTERCHANGE (EDI)	
ES6031	52.209-4512	FIRST ARTICLE TEST (CONTRACTOR TESTING)	ES6016 - FIRST ARTICLE TEST (CONTRACTOR TESTING)
ES7018	52.246-4531	ACCEPTANCE INSPECTION EQUIPMENT (AIE)	ES7002 - ACCEPTANCE INSPECTION EQUIPMENT (AIE)
HA7502	252.247-7023	TRANSPORTATION OF SUPPLIES BY SEA	HA0760 - TRANSPORTATION OF SUPPLIES BY SEA
HA7503	252.247-7024	NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA	HA0761 - NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA
IF0065	52.223-2	CLEAN AIR AND WATER	NO REPLACEMENT
IF0072	52.225-10	DUTY-FREE ENTRY	IF0496 - 52.225-8, DUTY-FREE ENTRY
IF0125	52.225-11	RESTRICTIONS ON CERTAIN FOREIGN PURCHASES	IF0497 - 52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES
IA0536	252.232-7009	PAYMENT BY ELECTRONIC FUNDS TRANSFER	IF0015 - 52.232-33, PAYMENT BY ELECTRONIC FUNDS TRANSFER - CENTRAL CONTRACTOR REGISTRATION
IA0702	252.203-7001	SPECIAL PROHIBITION ON EMPLOYMENT	IA0709 - 252.203-7001, PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT-RELATED FELONIES
IF7252	52.202-1	DEFINITIONS	IF0197 - DEFINITIONS
IF7889	52.248-1	VALUE ENGINEERING	IF0487 - VALUE ENGINEERING
IF7253	52.244-6	SUBCONTRACTS FOR COMMERCIAL ITEMS	IF0352 - SUBCONTRACTS FOR COMMERICAL ITEMS
IA7008	252.211-7005	SUBSTITUTIONS FOR MILITARY OR FEDERAL SPECIFICATIONS AND STANDARDS	NO LONGER VALID
KF6009	52.219-1	SMALL BUSINESS PROGRAM REPRESENTATIONS, ALTERNATE 1	KF6011 - SMALL BUSINESS PROGRAM REPRESENTATIONS, ALTERNATE 1
KF7021	52.223-1	CLEAN AIR AND WATER CERTIFICATION	NO REPLACEMENT

	Reference No. of Document Being Continued
CONTINUATION SHEET	Ŭ

PIIN/SIIN DAAE20-99-R-0091 MOD/AMD 0005

Name of Offeror or Contractor:

KF7033 52.209-5 CERTIFICATION REGARDING DEBARMENT,
SUSPENSION, PROPOSED DEBARMENT, AND
OTHER RESPONSIBILITY MATTERS

KF7037 - CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS

Page 3 of 11

(3) THE FOLLOWING CLAUSES ARE ADDED:

IA0740 252.225-7014 PREFERENCE FOR DOMESTIC SPECIALITY METALS - ALTERNATE I

- 3. The quality requirements for this solicitation and any resultant contract or contracts will be based upon the classification of each individual item as determined by its technical description, its complexity, and the criticality of its application. As a result, the ISO 9002 and ISO 9003 quality requirements will be used for the items to be supplied under this solicitation. Should an offeror choose to bid on a number of items that require ISO 9002 and a number of items that require ISO 9003 levels of the ISO standards, the higher-level standard, ISO 9002, will be the contractual minimum for the manufacture of all of these items.
- 4. Offerors operating to the obsolete requirements of MIL-I-45208 or MIL-Q-9858 should review the ISO 9001:2000 quality system requirements to ensure that your current quality system is in compliance with the new quality requirements.
- 5. Certification of compliance for the quality system you identify, by an independent standards organization or auditor, is not required.
- 6. Clause EF6002 (52.246-11), "Higher Level Contract Quality Requirement, Quality Management Systems-Requirements ISO 9001:2000, 13 Dec 2000, tailored by excluding paragraph 7.3 applies to these items only.

ITEM	NSN	NOMENCLATURE	PART NUMBER
0011	1005-01-395-4257	Trigger Subassem	bly 12972696
0012	1005-01-441-1619	Carrier Assembly	, Key and Bolt 8448505
0013	1005-01-442-0160	Forward Assist A	ssembly 9349086
0014	5315-00-992-7294	Pin, Cam	8448502
0015	5340-00-992-7297	Extension, Lower	Receiver 8448581
0018	1005-01-225-8339	Selector, Fire C	ontrol 9381367
0021	NSN NOT ASSIGNED	Carrying Handle	Assembly 12951011
0022	1005-01-382-7083	Base Carrying As	sembly 12951021
0027	1005-01-219-2402	Trigger Subassem	bly 9392518
0028	5340-01-144-1499	Disconnect, Burs	t 9349113
0029	5340-01-145-7910	Disconnect, Semi	9349114

7. Clause EF6002 (52.246-11), "Higher Level Contract Quality Requirement, Quality Management Systems-Requirements ISO 9001:2000, 13 Dec 2000, tailored by excluding paragraphs 7.2, 7.3, 7.4, 7.5.1, and 7.5.2 applies to these items only.

ITEM	NSN	NOMENCLATURE	PART NUMBER	
0001	1005-01-233-8530	Plate, Receiver End		9390021
0002	1005-01-382-7089	Index Elevation		12951018
0003	4710-01-233-8637	Gas Tube Assembly		9390016
0004	5310-01-233-8625	Nut, Receiver Extension		9390020
0005	1005-01-382-7086	Base, Rear, Sight		12951028
0006	5310-01-233-8626	Nut, Lock Pin		9390026
0007	5310-01-382-6793	Nut, Handle Assembly		12951023
8000	5315-01-233-8608	Pin, Lock		9390025
0009	5340-01-382-3201	Clamp		12951017
0010	5355-01-382-6801	Knob, Elevation		12951019
0016	1005-01-134-3631	Base, Rear Sight		9349074
0017	1005-01-146-7685	Butt, Plate Assembly		9349130
0019	1005-01-228-8504	Door Assembly, Thumb		9381380
0020	5305-01-459-5982	Screw, Swivel		12012083
0023	5120-01-324-6631	Wrench, Spanner		9390035
0024	1005-00-017-9546	Charging Handle Assembly		8448517
0025	1005-00-978-1022	Ejection Port Cover Assembl	Ly	8448525
0026	1005-01-134-3625	Post, Front Sight		9349056

^{8.} The following Engineering Change Proposals (ECPs) are hereby incorporated and made a part of this solicitation. These ECPs are provided as Attachments 0008 through 0014 of this amendment.

CONTINUATION SHEET	Reference No. of Document Being Conti	nued Page 4 of 11	
CONTINUATION SHEET	PIIN/SIIN DAAE20-99-R-0091 M	OD/AMD 0005	

Name of Offeror or Contractor:

L0S3048 L1S3017 L1S3019 L9S3040

L9S3009

L0S2048

- 9. THE CLOSING DATE AND TIME FOR SUBMISSION OF INITIAL AND REVISED PROPOSALS IS 4:30 PM, CENTRAL STANDARD TIME 28 SEPTEMBER 2001.

 INITIAL PROPOSALS AND ANY REVISIONS TO PROPOSALS MUST BE RECEIVED PRIOR TO THAT DATE. INITIAL PROPOSALS SHALL INCLUDE THE SOLICITATION,
 ALL AMENDMENTS, AND THE PRICING EVALUATION SHEETS(ATTACHMENT 0007). REVISED PROPOSALS SHALL BE SUBMITTED BY COMPLETING AND RETURNING
 ONE COPY OF THIS AMENDMENT ALONG WITH THE PRICE EVALUATION SHEETS. PROPOSALS MUST BE SUBMITTED ELECTRONICALLY OR BY DATAFAX TO (309)
 782-2047.
- 10. REQUEST THE ACCEPTANCE PERIOD FOR YOUR OFFER REMAIN VALID FOR 90 DAYS FROM THE DATE OF YOUR PROPOSAL UNLESS OTHERWISE STATED IN YOUR REPLY
- 11. OFFERORS WHO DO NOT INTEND TO SUBMIT A REVISION TO THEIR ORIGINAL OFFER, BUT WHO DO WANT TO BE CONSIDERED FOR AWARD, MUST CONFIRM THEIR OFFER BY RESPONDING TO THIS AMENDMENT TO REMAIN IN CONSIDERATION FOR AWARD.
- 12. POINT OF CONTACT: TACOM-ROCK ISLAND, ATTENTION: AMSTA-LC-CSC-C/MS. BOBBIE STEGALL, ROCK ISLAND, IL 61299-7630. THE DATAFAX NUMBER FOR THIS ACTIVITY IS (309) 782-3813; ELECTRONIC MAIL ADDRESS STEGALLB@RIA.ARMY.MIL.
- 13. ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED.

*** END OF NARRATIVE A 008 ***

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 5 of 11

Name of Offeror or Contractor:

SECTION E - INSPECTION AND ACCEPTANCE

	<u>Status</u>	Regulatory Cite	<u> </u>			Title				Date	_	
E	-1 CHANGED	52.246-11	HIGHER-LE	VEL CONTRA	ACT QUALITY R	EQUIREMENT				FEB/1999		
		shall comply wats selection by	_			dard selected	i belo	ow, (If more t	han one st	andard is l	isted,	the offeror
	Title				Number	Date		Tailorin	g			
()	Quality Ma	nagement System	ıs	ISO	9001:2000	13 Dec 2000		tailored by e	xcluding p	oaragraph 7.	3	
	APPLIES TO	CLINS 0011, 00	012, 0013, 0	014, 0015,	0018, 0021,	0022, 0027,	0028,	0029 ONLY.				
()	Quality Ma	ınagement System	ns	ISO	9001:2000	13 Dec 2000		tailored by e 7.5.1, and 7.		paragraph 7.	2, 7.3,	7.4,
	APPLIES TO	CLINS 0001, 00	002, 0003, 0	004, 0005,	0006, 0007,	0008, 0009,	0010,	0016, 0017,	0019, 0020	0, 0023, 002	4 ONLY.	

(End of clause)

(EF6002)

E-2 CHANGED 52.209-4512

 ${\tt FIRST\ ARTICLE\ TEST\ (CONTRACTOR\ TESTING)}$

MAR/2001

a. The first article shall consist of 10 EACH OF THE FOLLOWING PARTS/SUBASSYS/ASSYS (FIVE EACH FOR DIMENSIONAL INSPECTION AND FIVE EACH FOR INSPECTION OF COATING REQUIREMENTS).

ete)
•

which shall be examined and tested in accordance with contract requirements, the item specification(s), Quality Assurance Provisions (QAPs) and all drawings listed in the Technical Data Package.

- b. The first article shall be representative of items to be manufactured using the same processes and procedures and at the same facility as contract production. All parts and materials, including packaging and packing, shall be obtained from the same source of supply as will be used during regular production. All components, subassemblies, and assemblies in the first article sample shall have been produced by the Contractor (including subcontractors) using the technical data package applicable to this procurement.
- c. The first article shall be inspected and tested by the contractor for all requirements of the drawing(s), the QAPs, and specification(s) referenced thereon, except for:
 - (1) Inspections and tests contained in material specifications provided that the required inspection and tests have been

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 6 **of** 11

Name of Offeror or Contractor:

performed previously and certificates of conformance are submitted with the First Article Test Report.

- (2) Inspections and tests for Military Standard (MS) components and parts provided that inspection and tests have been performed previously and certifications for the components and parts are submitted with the First Article Test Report.
- (3) Corrosion resistance tests over 10 days in length provided that a test specimen or sample representing the same process has successfully passed the same test within 30 days prior to processing the first article, and results of the tests are submitted with the First Article Test Report.
- (4) Life cycle tests over 10 days in length provided that the same or similar items manufactured using the same processes have successfully passed the same test within 1 year prior to processing the first article and results of the tests are submitted with the First Article Test Report.
- (5) Onetime qualification tests, which are defined as a one-time on the drawing(s), provided that the same or similar item manufactured using the same processes has successfully passed the tests, and results of the test are on file at the contractor's facility and certifications are submitted with the First Article Test Report.
- d. The Contractor shall provide to the Contracting Officer at least 15 calendar days advance notice of the scheduled date for final inspection and test of the first article. Those inspections which are of a destructive nature shall be performed upon additional sample parts selected from the same lot(s) or batch(es) from which the first article was selected.
- e. A First Article Test Report shall be compiled by the contractor documenting the results of all inspections and tests (including supplier's and vendor's inspection records and certifications, when applicable). The First Article Test Report shall include actual inspection and test results to include all measurements, recorded test data, and certifications (if applicable) keyed to each drawing, specification and QAP requirement and identified by each individual QAP characteristic, drawing/specification characteristic and unlisted characteristic. Evidence of the QAR's verification will be provided. One copy of the First Article Test Report will be submitted through the Administrative Contracting Officer to the Contracting Officer with a copy furnished to AMSTA-AR-QAW-C, Rock Island, Illinois 61299-7630.
- f. Notwithstanding the provisions for waiver of first article, an additional first article sample or portion thereof, may be ordered by the Contracting Officer in writing when (i) a major change is made to the technical data, (ii) whenever there is a lapse in production for a period in excess of 90 days, or (iii) whenever a change occurs in place of performance, manufacturing process, material used, drawing, specification or source of supply. When conditions (i), (ii), or (iii) above occurs, the Contractor shall notify the Contracting Officer so that a determination can be made concerning the need for the additional first article sample or portion thereof, and instructions provided concerning the submission, inspection, and notification of results. Costs of the additional first article testing resulting from any of the causes listed herein that were instituted by the contractor and not due to changes directed by the Government shall be borne by the Contractor.

(End of Clause)

(ES6016)

E-3 ADDED 52.246-4531 ACCEPTANCE INSPECTION EQUIPMENT (AIE)

MAR/2001

- (a) The contractor shall use a calibration system with traceability to a national or international standard for the AIE used on this contract.
- (b) The contractor shall provide all AIE (except for any AIE listed as available in Section H or Appendix I) necessary to assure conformance of material to the contract requirements.
- (c) AIE shall be available for use on the First Article (FA) submission, if FA is required, or prior to use for acceptance of production material on this contract.
- (d) Contractor furnished AIE shall be made (i) to the AIE designs specified in Section C, or (ii) to any other design provided the contractor's proposed AIE design is approved by the Government. Contractor's proposed AIE design for inspection of characteristics listed as "Critical, Special or Major" shall be submitted to the Government for review and approval as directed on the Contract Data Requirements List, DD Form 1423. Government approval of AIE design shall not be considered to modify the contract requirements.
- (e) When the contractor submits it's proposed AIE on commercial off the shelf equipment, the contractor shall include the manufacturer's name and model number, and sufficient information to show capability of the proposed AIE to perform the inspection required. When submitting proposed AIE design documentation on commercial computer controlled test and measuring equipment include information on (1) test program listing (2) flowcharts showing accept and reject limits and computer generated test stimuli (3) calibration program listing (4) sample of the printout of an actual test and calibration (5) test plan to verify accuracy of inspection

CONTINUA	TION	SHEET
CONTINUA		SHELL

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091 MOI

MOD/AMD 0005

Page 7 of 11

Name of Offeror or Contractor:

and correctness of accept or reject decision (6) identification of the equipment by model name and number.

- (f) Resubmission of the contractor's proposed AIE design for Government approval on a follow on Government contract is not required, provided the inspection characteristic parameters specified in the technical data package and the previously Government approved AIE designs have not changed. In this situation, the contractor shall provide written correspondence in the place of the AIE designs that indicates the prior Government approval and states that no changes have occurred.
- (g) The Government reserves the right to disapprove, at any time during the performance of this contract, any AIE that is not accomplishing its intended use in verifying an inspection or test characteristic.
- (h) If the contractor changes the design after the initial approval, the modified design must be submitted for approval prior to use.

(End of clause)

(ES7002)

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 8 of 11

Name of Offeror or Contractor:

SECTION I - CONTRACT CLAUSES

<u>Status</u>	Regulatory Cite	<u> </u>	Date
I-1 ADDED	52.202-1	DEFINITIONS	MAY/2001

I-2 ADDED 52.244-6 SUBCONTRACTS FOR COMMERCIAL ITEMS MAY/2001

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 9 of 11

Name of Offeror or Contractor:

SECTION J - LIST OF ATTACHMENTS

List of			Number	
Addenda	Title		of Pages	Transmitted By
Attachment 007	PRICE EVALUATION SHEETS		008	
Attachment 008	ENGINEERING CHANGE PROPOSAL LOS3044	22-NOV-2000	004	
Attachment 009	ENGINEERING CHANGE PROPOSAL LOS3048	27-FEB-2001	005	
Attachment 010	ENGINEERING CHANGE PROPOSAL L1S3017	17-APR-2001	003	
Attachment 011	ENGINEERING CHANGE PROPOSAL L1S3019	17-APR-2001	800	
Attachment 012	ENGINEERING CHANGE PROPOSAL L9S3040	06-JAN-2000	002	
Attachment 013	ENGINEERING CHANGE PROPOSAL L9S3009	14-MAY-1999	003	
Attachment 014	ENGINEERING CHANGE PROPOSAL LOS2048	13-MAR-2001	023	

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 10 of 11

Name of Offeror or Contractor:

SECTION K - REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS OF OFFERORS Status Regulatory Cite Title Date K-1 ADDED 52.209-5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, APR/2001 AND OTHER RESPONSIBILITY MATTERS (a)(1) The Offeror certifies, to the best of its knowledge and belief, that-(i) The Offeror and/or any of its Principals-(A) Are () are not () presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency; THIS TEXT IS STAYED PER FAC 97-24 (B) Have () have not (), within the 3-year period preceding this offer, been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasions, or receiving stolen property; (C) Are () are not () presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivison (a)(1)(i)(B) of this provision; and (D) Have () have not() within a three-year period preceding this offer, been convicted or or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and (E) Are() are not () presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses

enumerated in subdivision (a)(1)(i)(D) of this provision.

THIS TEXT IS STAYED PER FAC 97-24

(ii.)(A) The offeror, aside from the offenses enumerated in paragraphs (a)(1)(A), (B), and (C) of this provision,

has [] has not []

within the past three years, relative to tax, labor and employment, environmental, antitrust, or consumer protection laws -

- (1) Been convicted of a Federal or state felony (or ; has any Federal or state felony indictments currently pending against them);
 - (2) Had a Federal court judgment in a civil case brought by the United States rendered against them; or
- (3) Had an adverse decision by a Federal administrative law judge, board, or commission indicating a willful violation of law.

Reference No. of Document Being Continued

PIIN/SIIN DAAE20-99-R-0091

MOD/AMD 0005

Page 11 of 11

Name of Offeror or Contractor:

(B) If the offeror has responded affirmatively, the offeror shall provide additional information if requested by the Contracting Officer; and

(iii) The Offeror has ()
 has not (),

within a 3-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager, plant manager, head of a subsidiary, division, or business segment, and similar positions).

This certification concerns a matter within the jurisdiction of an agency of the United States and the making of a false, fictitious or fraudulent certification may render the subject to prosecution under section 1001 title 18 United States Code.

- (b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

(End of Provision)

(KF7037)